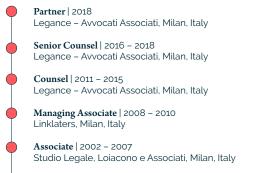
# Marco Penna

#### PARTNER

Marco Penna coordinates the Financial Intermediaries Regulations Department and has extensive experience regarding banking regulation, with particular focus on banking & finance law. He regularly assists clients on the regulatory capital of banks and financial intermediaries incorporated under Article 106 of the Italian Banking Act, as well as on banking governance and transparency also in the payment services sector. He also provides assistance in the field of investment services, rules of conduct and off-premises offerings. He regularly deals with regulatory aspect related to M&A transactions in the banking, payments and asset management sectors, as well as in relation to the establishment of regulated entities.

Marco Penna is ranked in Chambers and Partner as an Up and Coming professional in the Banking & Finance: Financial Services Regulation sector and he is mentioned as follow: "Marco Penna assists with very complex transactions. He has a broad overview and a pragmatic approach" (2025). Moreover, he is recognised in The Legal 500 as Leading Partner and he is mentioned as follows: "Marco Penna has broad knowledge of banking and financial markets regulation, and gives practical advice that is aimed at finding viable solutions" (2023), "Marco Penna is a strong role model in his areas of expertise" (2022), "Marco Penna has been of outstanding support through his professionalism" (2021). Marco is also ranked Excellent in Compliance in the Leaders League directory.

#### **PROFESSIONAL EXPERIENCE**



Assistant Professor | 2001 – 2002 Italian Civil Procedure, Università degli Studi di Ferrara, Ferrara, Italy

#### EDUCATION

2005 Admitted to the Bar. Member of the Milan Bar, Italy

2001

Law Degree, maxima cum laude, Università degli Studi di Ferrara, Ferrara, Italy

#### ACADEMIC AND INSTITUTIONAL EXPERIENCES

#### September – October 2020

Master in "Tech Law & Digital Transformation", II Edition, TopLegal Academy, Rome;

#### 2019 - 2020

"Diritto dei mercati finanziari", Master in "Corporate and Real Estate Finance – MACREF VII", Università LUM "Jean Monnet", Bari;

#### 2019

Collaboration, through the Fondazione ResPublica, with the Ministry for European Affairs for the drafting of a regulatory framework on fintech, to be included in the so-called Decreto Crescita. The legislative process is still in progress and we are involved through, among others, the participation in the consultation launched by the Ministry of Economy and Finance, in view of the forthcoming publication of a ministerial regulation implementing the aforementioned regulatory framework;

#### 2018 - 2019

"Diritto dei mercati finanziari", Faculty of Economics, Università LUM "Jean Monnet", Bari.



#### INFO

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#### PRACTICE AREAS



Compliance

Regulations

Financial Intermediaries

Insurance Law



Corporate Finance

# Legance

# Marco Penna

## PARTNER

## PUBLICATIONS

He is the author of articles published in Italian legal reviews and has been speaker in different occasion at conferences and seminars. Among others:

- > Chapter "Italy" in "Capital Markets: Derivatives", Chambers and Partners, September 2024;;
- > Chapter "Italy" in "Fintech", Panoramic Lexology, August 2024;
- Chapter "Italy" in "Financial Services Compliance", Lexology Getting The Deal Through, April 2022;
- > Chapter "Italy" in "Fintech Country Comparative Guide", The Legal 500, November 2021;
- > Chapter "Italy" in "Blockchain 2021", Global Practice Guides, Chambers and Partners, June 2021;
   > Chapter "Italy" in "Financial Services Compliance", Lexology Getting The Deal Through, March
- 2021;
  Chapter "Italy" in "Fintech Country Comparative Guide", The Legal 500, November 2020;
- Chapter "Italy" in "Financial Services Compliance", Lexology Getting The Deal Through, April 2020;
- > Chapter "Italy" in "Fintech", Global Legal Insights, August 2019;
- > Chapter "Italy" in "Risk and Compliance", Getting The Deal Through, April 2019;
- > Chapter "Italy" in "Banking Regulation", Global Legal Insights, March 2019;
- > Chapter "Italy" in "Financial Services Compliance", Getting The Deal Through, March 2019;
- > "Diritto degli intermediari e dei mercati finanziari", Sfameni Giannelli, Egea, Milan, 2018;
- > Chapter "Italy" in "Risk and Compliance", Getting The Deal Through, 2017;
- "Alcune tipologie particolari di contratti di outsourcing", (edited by) Paolo Pototschnig, Gabriele Capecchi, Appalti privati e Outsourcing, Wolters Kluwer, Milanofiori Assago (MI), 2016;
- > "Diritto degli intermediari e dei mercati finanziari", Sfameni Giannelli, Egea, Milan, 2015;
- > "Diritto degli intermediari e dei mercati finanziari", Sfameni Giannelli, Egea, Milan, 2013;
- > "MiFID II e regolamentazione dei mercati: continuità e innovazione", Diritto 24, 29 March 2012.

#### NEWSPAPER ARTICLES AND INTERVIEWS

- > "Stretta sui soci in banca", quote in Milano Finanza, 15 <sup>th</sup> December 2022;
- "Cybersecurity concerns for directors and banks" (with Andrea Fedi and Lucio Scudiero), Risk & Compliance Magazine, January 2019.

#### **CONFERENCES AND SEMINARS**

- > "Cosa cambia dopo l'entrata in vigore del decreto del MEF n.169/2020", Webinar, 4<sup>th</sup> May 2021;
- > "A legal reading of Fintech", Webinar, 17<sup>th</sup> September 2020;
- > "La distribuzione dei prodotti assicurativi tra IDD e MiFID II: conflitti di interesse, incentivi e remunerazione", Milan, 22<sup>nd</sup> May 2019;
- "Energy Talks Grid Parity e PPA: sostenibilità degli investimenti e prospettive di finanziamento", Milan, 15<sup>th</sup> May 2019;
- > "Background Checks", Milan, 5 November 2019;
- "Profili regolamentari delle operazioni M&A nel settore bancario e finanziario", Milan, 28<sup>th</sup> February 2018.



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